



Date: 4/23/2013

Principal Investigator Eligibility for a Postdoctoral Fellow

(This form is used when funding announcements specifically require non-faculty PIs (e.g., NIH K and F32 applications))

Department:

PI Eligibility Request for:

Office for Research Funding and Support:

In accordance with the ECAS **Principal Investigator Eligibility Policy**¹ requirements:

1. The Department has named ~~AWW~~ as Faculty Sponsor. The Faculty Sponsor will provide the necessary space, equipment, and personnel support for the work. The Sponsor also will provide any necessary materials, supplies, and so forth for the work that is not supported by the grant.
2. The Department has named ~~AWW~~ as an alternate Faculty Sponsor to oversee the fellow and project in the event that the Faculty Sponsor was to terminate relationship with the fellow or leave Emory during the term of the project.
3. The Faculty Sponsor assumes the ultimate responsibility for all reporting and effort certification requirements, final reports to the sponsor, and other grant management requirements.
4. The Department accepts the fellow as a PI in the department and agrees to use of departmental resources to support the fellow and the project. Fellows may be certified once; certification is for a maximum of 5 years, and cannot be renewed.
5. The Postdoctoral fellow PIs shall be given the title of Affiliated Junior Scientist or Affiliated Junior Scholar.
6. The Postdoctoral fellow PIs shall be advised that if they change institutions between the time of application and award, or post award, and they wish to take the award with them to the new institution, in accordance with agency and university policies, the PI must request from the sponsoring agency and from Emory University permission to transfer the award. Transfer of an award is not automatic.
7. The Postdoctoral fellows wishing to submit a proposal who already devote 100% effort on sponsored projects should notify Emory College Office of Research Funding and Support of intent to submit at least three (3) months in advance of the agency deadline so that arrangements can be made to devote non-sponsored effort to proposal preparation. Failure to do so may result in inability to submit the proposal. (see page 2 of form)

Department Chair

Sponsor

ECAS ORFS

Signature

Date

Signature

Date

Signature

Date

ECAS PostDoctoral Fellow Effort Adjustment Form

Federal rules dictate that one cannot write a grant application while devoting 100% effort to a sponsored project. To comply with this federal regulation, Emory College has established a threshold level of externally-sponsored support not to exceed 95% effort in the 3 months prior to submission of an application. For that 3-month period, during which the fellow is preparing the application, the fellow may devote 95% effort or less to sponsored projects (and be compensated accordingly). Alternatively, non-sponsored sources of support may be used to bring total effort to 100%. Upon submission of the application, the fellow may return to 100% effort on a sponsored project. To facilitate the processing of changes in levels and sources of support, please provide the following information regarding the post doctoral associate's current and planned effort and support.

Name of Post Doctoral Associate

Name of Faculty Sponsor/Co-PI

(1) Current support (Effort should total 100%)

| [] ^ ^ a A ^] ^ | % Effort |
|-------------------|----------|
| | |
| | |
| | |

(2) Effective date of support change (must be 3 or more in advance of the expected date of submission)

Support while writing proposal (Effort from all sponsored sources must total no more than 95%. To bring total effort to 100%, a non-sponsored source of support must be identified):

| [] ^ ^ a A ^] ^ | % Effort |
|-------------------|----------|
| | |
| | |
| | |

(3) End date of support change (must be equal to or later than the date of submission)

Support after proposal submission (check one):

Same as current support (#1, above)

Different from current support (specify in table below)

| [] ^ ^ a A ^] ^ | % Effort |
|-------------------|----------|
| | |
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